PTO/SB/31 (09-08)
Approved for use through 10/31/2008. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| NOTICE OF APPEAL FROM THE EXAMINER TO THE BOARD OF PATENT APPEALS AND INTERFERENCES | Docket Number (Optional) 1497210.129 US2 |
|---|---|

| | In re Application of Murray L. Finebaum, et al. |
|---|---|

| | Application Number 10/001,921 – Conf. #8945 | Filed November 15, 2001 |
|---|---|---|

For   METHOD AND APPARATUS FOR TRADING BONDS

| | Art Unit 3692 | Examiner Harish T. Dass |
|---|---|---|

Applicant hereby **appeals** to the Board of Patent Appeals and Interferences from the last decision of the examiner.

The fee for this Notice of Appeal is (37 CFR 41.20(b)(1))                    $     540.00

[ ] Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is:                    $

[ ] A check in the amount of the fee is enclosed.

[ ] Payment by credit card. Form PTO-2038 is attached.

[x] The Director has already been authorized to charge fees in this application to a Deposit Account.

[x] The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No.     08-0219     .

[ ] A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed.

**WARNING: INFORMATION ON THIS FORM MAY BECOME PUBLIC. CREDIT CARD INFORMATION SHOULD NOT BE INCLUDED ON THIS FORM. PROVIDE CREDIT CARD INFORMATION AND AUTHORIZATION ON PTO-2038.**

I am the

[ ] applicant /inventor.

[ ] assignee of record of the entire interest.
See 37 CFR 3.71. Statement under 37 CFR 3.73(b)
is enclosed.   (Form PTO/SB/96)

[x] attorney or agent of record.
Registration number     35,120

[ ] attorney or agent acting under 37 CFR 1.34.
Registration number if acting under 37 CFR 1.34.

/Irah H. Donner/
Signature

Irah H. Donner
Typed or printed name

(212) 230-8800
Telephone number

September 28, 2009
Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

[ ] *Total of     1     forms are submitted.